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Serial No.: 09/6/3,170	MC File No.: UM-	04496	By: Usac
In The Matter of the Application Of:	Tetsufuni Ueda et	al.	AMN
Date Mailed: 02/06/200	/ Due D	ate:	
The following has been received in the	e U.S. Patent and Trademark Office on	the date stamped her	eon:
Prov. Patent Application Pages Utility Patent Application Pages DIV	☐ ITU Trademark Application Statement of Use Trademark Renewal Application Color of the Affidavits Color of the Affidavits Color of the Affidavits	PCT Application Chapter II Demand Authorization of Agent Transmittal Letter Certificate of Mailing Cert. of Express Mailin Check(s): \$ Deposit Account Autho	Duplicate g Label No.:

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PRIOR ART STATEMENT DUE 3 MONTHS	10/10/00
FOREIGN FILING LETTER DUE 9 MONTHS UTILITY / 3 MONTHS DESIGN	4/10/01
12 MONTHS UTILITY / 6 MONTHS DESIGN	7/10/01
TWENTY-ONE MONTHS SUSPENSE DATE	4/10/02
	2.0

MAH Serial No.: -MC File No.: UM-04496 By: FTM In The Matter of the Application Of: Tetsulo U9/613170 Date Mailed: July 10, 2000 The following has been received in the U.S. Patent and Trademark Office on the da**d stan** இரை இரைப்படுக்கும். Design Patent Application ____ Pages

Trademark Application □ PCT Application [] Plant Patent Application ☐ ITU Trademark Application ___Pages ☐ Chapter II Demand Prov. Patent Application Pages ☐ Statement of Use Authorization of Agent Utility Patent Application 43 Pages Trademark Renewal Application [] CONT ☐ Section 8 & 15 Affidavits ☐ Certificate of Mailing [] CIP CPA ☐ Specimens Cert. of Express Mailing Label No U.S. Nat'l. Entry Declaration/Oath/Affidavits (esacutor)
Drawings: Sheet(s) EL 079 497 037 US
Check(s): \$ 384.30 Information Disclosure Statement Form PTO-1449 and Copies of Formal ☐ Informal Deposit Account Authorization [] Form PTO-1533 (Not. of Missing Parts) Certificate Re: Sequence Listing Sequence Listing in Paper Copy and on Computer Readable Diskette [] Form PTOL-85B (Issue Fee Transmittal) Extension of Time Req.: ____ Month(s) [,] Form PTO-1050 (Cert. of Correction) Notice of Appeal Maintenance Fee Transmittal Form Appeal Brief Reexamination Request ☐ Petition

Serial No.:	MC File No.: UM - C	4496	Ву: ЕТМ
In The Matter of the Application Of:	Tetsufami et al	2.	MAH
Date Mailed: July 10, 200 The following has been received in the	Due D)ate:	eon:
Certificate Re: Sequence Listing Sequence Listing in Paper Copy and on Computer Readable Diskette Form PTOL-85B (Issue Fee Transmittal) Form PTO-1050 (Cert. of Correction) Maintenance Fee Transmittal Form	☐ Trademark Application ☐ ITU Trademark Application ☐ Statement of Use ☐ Trademark Renewal Application ☐ Section 8 & 15 Affidavits ☐ Specimens ☐ Declaration/Oath/Affidavits (************************************	Check(s): \$ 384 Deposit Account Author Request (1446 1821(e) 16 Computer Rec	Duplicate g Label Nc 37 US rization C.F.R

In The Matter of the Application Of:	MC File No.: UM- Tetsufuni Ueda et	al. AMN
Date Mailed: 02/06/200	Due D	ate:
The following has been received in the	e U.S. Patent and Trademark Office on	the date stamped hereon:
Design Patent Application Pages Plant Patent Application Pages Prov. Patent Application Pages Utility Pages Porm PTO-1449 and Ooples of References Contained Thereon Form PTO-1433 (Not. of Missing Parts) Certificate Re: Sequence Listing Sequence Listing in Paper Copy and on Computer Readable Diskette Form PTOL-85B (Issue Fee Transmittal) Form PTO-1050 (Cert. of Correction)	☐ ITU Trademark Application ☐ Statement of Use ☐ Trademark Renewal Application ☐ Section 8 & 15 Affidavits ☐ Specimens ☐ Declaration/Oath/Affidavits	□ PCT ApplicationPages □ Chapter II Demand □ Authorization of Agent ☑ Transmittal Letter ☑ Duplicate ☑ Certificate of Mailing □ Cert. of Express Mailing Label No.: □ Check(s): \$ ☑ Deposit Account Authorization

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Gupta.

Group No.: 1653

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tetsufumi Ueda et al.

Serial No.:

Entitled:

09/613,170

Filed:

7/10/00

Examiner: Compositions And Methods For The Inhibition

Of Neurotransmitter Uptake Of Synaptic

Vesicles

LIST OF CORRESPONDENCE UNDER 37 CFR § 1.251

Box Reconstruction United States Patent & Trademark Office Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV 008 740 895 US, addressed to: Box Reconstruction, United States Patent & Trademark Office, Washington, D.C. 20231.

Dated: March 27, 2002

Sir or Madam:

The following is a list of all correspondence between the Office and Applicants, submitted in order to reconstruct an unlocatable file, in accordance with paragraph (a)(1). A copy of the listed documents is provided herein.

Submitted to the Office on July 10, 2000 with Certificate of Express Mail:

Postcard;

Express Mailing Label No. EL 079 497 037 US;

Check (\$384);

Transmittal Letter with deposit account authorization, in duplicate;

Preliminary Amendment;

Certificate Re Sequence Listing:

Request under 37 CFR § 1.821(e) to use Computer Readable Seq. Listing of Parent;

Seq. Listing in Paper Copy;

Information Disclosure Statement;

Form PTO-1449;

Notice of Recordation of Assignment of Parent;

Recordation Form Cover Sheet of Parent, in duplicate;

Assignment (executed copy);

Power of Attorney by Assignee (executed copy);

Small Entity Declaration (executed copy);

Utility (Divisional) Patent Application (43 pages);

Declaration (executed copy); and

Formal Drawings (16 sheets).

Received from the Office on July 21, 2000:

Postcard bearing U.S. Patent Appln. Serial No. 09/613,170 and 7/10/00 filing date.

Received from the Office on September 18, 2000:

Filing Receipt.

Submitted to the Office on February 6, 2001 with Certificate of Mailing:

Postcard;

Transmittal Letter with deposit account authorization, in duplicate;

Second Preliminary Amendment;

Information Disclosure Statement; and

Form PTO-1449.

Received from the Office on February 20, 2001:

Postcard bearing OIPE stamp dated February 12, 2001.

Received from the Office on March 12, 2002:

Notice Under 37 CFR § 1.251 of unlocatable file.

PATENT
Attorney Docket No. UM-04496

CONCLUSION

Should the Examiner believe that a telephone interview would aid in the reconstruction of the file, Applicants encourage the Examiner to call the undersigned collect. Additionally, Applicants urge the Examiner to promptly review the documents submitted with this communication, as Applicants would prefer to commence prosecution of this Application without further delay.

Signing on behalf of:

Dated: March 27, 2002

David A. Casimir

Registration No. 42,395

Please direct all communication to:

Peter G. Carroll Registration No. 32,837

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 415.904.6500 3 Application of:

Tetsufumi Ueda et al.

`.1 No.:

09/613,170

7/10/00

Group No.: Examiner:

1653 Gupt

Filed: Entitled:

Compositions And Methods For The Inhibition Of Neurotransmitter Uptake Of Synaptic Vesicles

TRANSMITTAL OF CORRESPONDENCE SUBMITTED IN ORDER TO RECONSTRUCT UNDER 37 CFR § 1.251

Box Reconstruction

United States Patent & Trademark Office Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

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Dated: March 27, 2002

Sir/Madam:

Transmitted herewith for filing in the U.S. Patent and Trademark Office are:

- 1. Notice Under 37 CFR § 1.251;
- 2. Correspondence (in reverse chronological order);
- 3. List of Correspondence;
- 4. Statement of Applicants; and
- 5. Change of Correspondence Address.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment related to this filing to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

3/27 /02

Registration No. 42,395

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 415/904-6500



In re Patent No.: Patentee: Patent Date:

Application No.: Filing Date:

Direct to:

Box Reconstruction

United States Patent and Trademark Office

Washington, DC 20231

NOTICE UNDER 37 CFR 1.251 - Patent

Statement (check the appropriate box):

The copy submitted with this reply is a complete and accurate copy of patentee's record of all of the correspondence between the Office and the patentee for the above-identified patent (except for U.S. patent documents), and patentee is not aware of any correspondence between the Office and patentee for the above-identified patent that is not among

The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of patentee's record of such paper(s).

☐ The papers produced by patentee are patentee's complete record of all of the correspondence between the Office and the patentee for the above-identified patent (except for U.S. patent documents), and patentee is not aware of any correspondence between the Office and the patentee for the above-identified patent that is not among patentee's

☐ Patentee does not possess any record of the correspondence between the Office and the patentee for the above-identified patent. SIGNING ON BEHALF OF:

3/27/02 Date

A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



APPLICATION NO.

09/613,170

Suite 2200

Kamrin T MacKnight Medlen & Carroll LLP

220 Montgomery Street

San Francisco, CA 94104

United States Patent and Trademark Office

FILING DATE

07/10/2000

02/28/2002



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Tradeus Commerce
Address: COMMISSIONER OF PATENTATION TRADEMARKS
Washington, D.C. 20231

WWW.uspto gov

ATTORNEY DOCKET NO. COMPRMATION NO

UM-04496

EXAMINER OF THE STATE OF TH

Resp. 8/28/02 200

DATE MAILED: 02/28/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

MAR 2 7 2002

FIRST NAMED INVENTOR

Tetsufumi Ueda

MEDL220* 941043008 1801 28 03/11/02 NOTIFY SENDER OF NEW ADDRESS :MEDLEN & CARROLL LLP 101 HOWARD ST 5TE 350 SAN FRANCISCO CA 94105-1661

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MAR 1 2 2002

MEDLEN & CARROLL







United States Patent and Trademark Office

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIDENCE DATE NO. OF THE STATES DEPARTMENT OF COMMERCE United States Patents and Trademark Office address. Commission D.C. 2023

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIDENCE OF PATENTS AND CONFIDENCE OF PATENTS AND CRODEMARKS Washington, D.C. 2023

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIDENCE OF PATENTS AND CRODEMARKS Washington, D.C. 2023

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NOTICE UNDER 37 CFR 1.251 - Patent

☐ The file of the above-identified patent cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified patent pursuant to the provisions of 37 CFR 1.251.

Patentee is given a period of SIX (6) MONTHS from the mailing date of this notice within which to provide a copy of patentee's record (if any) of all of the correspondence between the Office and patentee for the above-identified patent (except for U.S. patent the correspondence between the Office and the copy is a complete and accurate copy of patentee's record of all of whether patentee is aware of any correspondence between the Office and patentee for the above-identified patent (except for U.S. patent documents), and patentee's records.

The following paper(s) pertaining to the above-identified patent cannot be located after a reasonable search:

Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Patentee is given a period of SIX (6) MONTHS from the mailing date of this notice within which to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of patentee's record of such paper(s).

Alternatively, patentee may reply to this notice by producing patentee's record (if any) of all of the correspondence between the Office and the patentee for the above-identified patent for the Office to copy (except for U.S. patent documents), and provide a statement that the papers produced by patentee are patentee's complete record of all of the correspondence between the Office and the patentee for the above-identified patent (except for U.S. patent documents), whether patentee is aware of any correspondence between the Office and the patentee for the above-identified patent that is not among patentee's records. Such records must be brought to the Customer Service Center in the Office of Initial Patent Examination (Crystal Plaza 2, 2011 South Clark Place, Arlington, VA 22202).

If patentee does not possess any record of the correspondence between the Office and the patentee for the above-identified patent (or any copy of the paper(s) listed above), patentee must reply to this notice by providing a statement that patentee does not possess any record of the correspondence between the Office and the patentee for the above-identified patent.

A printout from PALM of the contents of the file of the above-identified patent is included with this notice.

Direct the reply to this notice to:

Box Reconstruction

United States Patent and Trademark Office

Washington, DC 20231

Direct questions concerning this notice to:

(703) <u>305</u>. 3608



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U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE 995, no persons are required to respond to a collection of information unless it displays a valid OMB compet number. In re Patent/No Patentee: Patent Date: **Application No.:** Filing Date: Direct to: Box Reconstruction United States Patent and Trademark Office Washington, DC 20231 NOTICE UNDER 37 CFR 1.251 - Patent Statement (check the appropriate box): The copy submitted with this reply is a complete and accurate copy of patentee's record of all of the correspondence between the Office and the patentee for the above-identified patent (except for U.S. patent documents), and patentee is not aware of any correspondence between the Office and patentee for the above-identified patent that is not among The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of patentee's record of such paper(s). ☐ The papers produced by patentee are patentee's complete record of all of the correspondence between the Office and the patentee for the above-identified patent (except for U.S. patent documents), and patentee is not aware of any correspondence between the Office and the patentee for the above-identified patent that is not among patentee's records. Patentee does not possess any record of the correspondence between the Office and the patentee for the above-identified patent. Date Signature

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Typed or printed name

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PATENT Attorney Docket No. UM-04496

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tetsufumi Ueda et al.

Serial No.:

Filed:

09/613,170

7/10/00

Vesicles

Group No.: 1653

Examiner: Gupta, A

Compositions And Methods For The Inhibition Of Neurotransmitter Uptake Of Synaptic



STATEMENT OF APPLICANTS **UNDER 37 CFR § 1.251**

Box Reconstruction United States Patent & Trademark Office Washington, D.C. 20231

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Dated: March 27, 2002

Sir or Madam:

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Signing on behalf of:

Dated: <u>March 27, 2002</u>

David A. Casimir

Registration No. 42,395

PATENT Attorney Docket No. UM-04496

Please direct all communication to:

Peter G. Carroll Registration No. 32,837

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 415.904.6500